



# SELF CERTIFICATION ON TAX RESIDENCY STATUS

Please read the instructions on Page 3 before completing this form. **Mandatory to complete all sections.**

## 1 Identification of Individual Accountholder

Full Name (as per NRIC/Passport)

NRIC/Passport Number\*

Date of Birth (DDMMYYYY)

Current Residence Address



City<sup>1</sup>

Postal/Zip Code

Country

Singapore

Foreign (please specify)

## 2 Country/Jurisdiction of Residence for Tax Purposes and Related Tax Identification Number or Equivalent Number ("TIN")

**Completion of Section 2(A) for FATCA and Section 2(B) for CRS is mandatory. Please indicate:-**

- (i) Your US Person status and SSN if you are a US Person;
- (ii) All countries and/or jurisdictions (including Singapore) where you are a tax resident; and
- (iii) Your TIN for each country/jurisdiction indicated.

Note: If you are a tax resident in more than four countries/jurisdictions, please use a separate sheet. If a TIN is unavailable, please provide the appropriate reason.

### 2(A) FATCA

US Person  Social Security No. (SSN) or TIN (9 digits)

Non US Person (A copy of Non-US passport or Non-US government issued identification is attached)

I was a US Person and I am no longer a 'US Person' (A copy of Certificate of Loss of Nationality of the US is attached)

### 2(B) CRS

Singapore TIN/FIN  For Singapore the TIN is your NRIC or FIN

Other Countries:

(i) Country/Jurisdiction of tax residence

TIN

If TIN is not available, select a reason

Country/Jurisdiction does not issue TIN

TIN not required to be disclosed<sup>2</sup>

I am unable to obtain TIN (provide reason below)

(ii) Country/Jurisdiction of tax residence

TIN

If TIN is not available, select a reason

Country/Jurisdiction does not issue TIN

TIN not required to be disclosed<sup>2</sup>

I am unable to obtain TIN (provide reason below)

<sup>1</sup> City is only applicable for Foreign addresses

<sup>2</sup> To be selected only if the law of the country of tax residence stated above does not require the TIN to be collected



(iii) Country/Jurisdiction of tax residence TIN

\_\_\_\_\_

If TIN is not available, select a reason

Country/Jurisdiction does not issue TIN  TIN not required to be disclosed<sup>2</sup>

I am unable to obtain TIN (provide reason below)

\_\_\_\_\_

(iv) Country/Jurisdiction of tax residence TIN

\_\_\_\_\_

If TIN is not available, select a reason

Country/Jurisdiction does not issue TIN  TIN not required to be disclosed<sup>2</sup>

I am unable to obtain TIN (provide reason below)

\_\_\_\_\_

### 3 Authorisations and Undertakings

- I understand that the information provided herein is subject to the terms and conditions governing the Accountholder's relationship with United Overseas Bank Limited ("UOB").
- I confirm that all information in this form is accurate, complete and true.
- I undertake to notify UOB and to provide UOB with a suitably updated Self-Certification form within 30 days of any change in circumstances which affects the tax residency status of the Accountholder named in Section 1 above or causes the information contained herein to become incorrect.
- I agree to provide UOB with such documents as UOB may require from time to time in its sole discretion for the purpose of UOB satisfying itself that the information herein is valid.
- Without prejudice to any other rights or remedies UOB may otherwise have, I shall indemnify, keep indemnified and hold harmless UOB against any and all liabilities, actions, claims, losses, damages, costs and expenses (including but not limited to legal costs on a full indemnity basis) suffered or incurred by UOB as a result of, or in connection the information herein being inaccurate, outdated or untrue.

Note: If you are not the Accountholder, please indicate the capacity in which you are signing the form. An authorised representative or officer must state the capacity in which he/she is signing on behalf of the Accountholder. If this form is completed by an agent acting under a duly authorised Power of Attorney, the form must be accompanied by the Power of Attorney in proper form specifically authorising the agent to represent the Accountholder in making, executing and presenting the form.

\_\_\_\_\_

Signature Name / NRIC

\_\_\_\_\_

Date Capacity (if applicable) Parent / Guardian / Trustee / Authorised Rep

### For Bank Use Only

**Attended/Processed by\*** **Signature Verified by (for Thumbprint-Witnessed by)**

\_\_\_\_\_

Signature and Name Date Signature and Name Date

### For COC Use Only

**Processed by** **Approved by**

\_\_\_\_\_

Signature and Name Date Signature and Name Date

<sup>2</sup> To be selected only if the law of the country of tax residence stated above does not require the TIN to be collected

# Common Reporting Standard (“CRS”) & Foreign Account Tax Compliance Act (“FATCA”) Self-Certification Form (Individual Accountholder)

## Instructions

1. Please complete this form if you are an individual Accountholder. For joint or multiple Accountholders, please use a separate form for each individual Accountholder. If the Accountholder is a minor, this form should be completed by the parent or legal guardian of the minor. If the Accountholder is mentally incapacitated, the relevant Power of Attorney holder should complete this form.
2. Please complete all sections in a legible manner. The form must be signed and dated.
3. The following will render this form invalid:
  - a. Alterations to the pre-printed content;
  - b. Use of correction fluid or tape.
  - c. Failure to state address in full, namely failing to specify the relevant Current Residence Address, City, Country and Postcode for your address.
4. Please ensure that both Sections 2(A) and (B) are completed for FATCA and CRS respectively. It is mandatory for these sections to be completed.

## Explanatory Notes

1. **“CRS”** means the Common Reporting Standard.  
United Overseas Bank Limited (“UOB”) is required under the Income Tax (International Tax Compliance Agreements) (Common Reporting Standard) Regulations 2016 (“the Regulations”) to collect information about each account holder’s tax residency status. UOB is required to submit this information to the Inland Revenue Authority of Singapore (“IRAS”) to comply with the Regulations.
2. **“FATCA”** means the Foreign Account Tax Compliance Act.  
UOB is obliged under the Income Tax (International Tax Compliance Agreements) (United States of America) Regulations 2015 to collect each account holder’s US/Non-US Person status for FATCA purposes.
3. UOB may seek additional information from you after the submission of this form to ensure compliance with the aforementioned Regulations and any other relevant laws enacted to implement CRS and FATCA.
4. Whether a person is tax resident in a particular country depends on whether that person meets the definition of a tax resident under the tax laws of that country. UOB does not provide tax advice. Please consult your tax, legal and/or other professional advisers if you have any questions on or in relation to CRS and FATCA, the Regulations and the information requested in this Self-Certification Form.
5. **“US Person”**
  - a. A US Citizen or resident individual.
  - b. A partnership or corporation organised in the US or under the laws of the US or any state of the US.
  - c. A trust if (i) a court within the US would have authority under applicable law to render orders or judgements concerning substantially all issues regarding administration of trust, and (ii) one or more US Persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US.  
This definition shall be interpreted in accordance with the US Internal Revenue Code. Please note that persons who have lost their US citizenship and who live outside the US may nonetheless in some circumstances be treated as US Persons.
    - If you hold multiple citizenships and one of them is US, you are a US Person.
    - If you have a US Green Card, you are a US Person.
    - If you were born in the US or a US Territory (Puerto Rico, Guam, US Virgin Islands or Northern Mariana Islands), you are a US Person.
    - If you meet the substantial presence test\*\*, you are a US Person.

\*\*To meet this test, you must be physically present in the United States at least:

  1. 31 days during the current year; and
  2. 183 days during the 3-year period that includes the current year and the 2 years immediately before that, counting:
    - All the days you were present in the current year;
    - 1/3 of the days you were present in the first year before the current year; and
    - 1/6 of the days you were present in the second year before the current year.