

UOB Principal Guaranteed Structured Deposit SD 2013 – Series (3)

Return Tracker

Matured on 23 September 2019
Performance on Observation Date, 16 September 2019

Underlying Shares	Bloomberg Code	Currency	Initial Price 21 Oct 2013	95% of Initial (Barrier)Price	Closing Price 16-Sep-2019	Performance
Ascendas Real Estate Inv TRT ²	AREIT SP Equity	SGD	2.3277	2.2113	3.11	133.6083%
DBS Group Holdings Ltd ³	DBS SP Equity	SGD	16.3234	15.51	25.47	156.0337%
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	6.25	88.2731%
Sembcorp Marine Ltd	SMM SP Equity	SGD	4.61	4.3795	1.30	28.1996%
Singapore Telecommunications Limited	ST SP Equity	SGD	3.68	3.4960	3.21	87.2283%

Bloomberg code for reference only

Performance on Observation Date, Closing Price 16-Sep-2019

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.
Bonus Interest Rate = 0%

Total interest paid over 5 years 11 months tenor is 9.60%

Guaranteed Fixed Interest Rate of 1.60% was paid on 21-Oct-2014
Guaranteed Fixed Interest Rate of 1.60% was paid on 21-Oct-2015
Guaranteed Fixed Interest Rate of 1.60% was paid on 21-Oct-2016
Guaranteed Fixed Interest Rate of 1.60% was paid on 23-Oct-2017
Guaranteed Fixed Interest Rate of 1.60% was paid on 22-Oct-2018
Guaranteed Fixed Interest Rate of 1.60% was paid on 23-Sep-2019

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Performance on Observation Date(5), 15 October 2018

Underlying Shares	Bloomberg Code	Currency	Initial Price, 21 Oct 2013	95% of Initial (Barrier)Price	Closing Price, 15-Oct-18	Performance
Ascendas Real Estate Inv TRT ²	AREIT SP Equity	SGD	2.3277	2.2113	2.500	107.4022%
DBS Group Holdings Ltd ³	DBS SP Equity	SGD	16.3234	15.5072	24.480	149.9688%
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	6.720	94.9112%
Sembcorp Marine Ltd	SMM SP Equity	SGD	4.610	4.3795	1.890	40.9978%
Singapore Telecommunications Limited	ST SP Equity	SGD	3.680	3.4960	3.110	84.5109%

Bloomberg code for reference only.

Performance on Observation Date(5),15 October 2018

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.

Bonus Interest Rate = 0%

Total guaranteed interest of 8% was paid to-date.

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2014

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2015

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2016

Guaranteed Fixed Interest Rate of 1.6% was paid on 23 October 2017

Guaranteed Fixed Interest Rate of 1.6% was paid on 22 October 2018

**UOB Principal Guaranteed Structured Deposit
SD 2013 – Series (3)**

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Performance on Observation Date(4), 16 October 2017

Underlying Shares	Bloomberg Code	Currency	Initial Price, 21 Oct 2013	95% of Initial (Barrier)Price	Closing Price, 16-Oct-17	Performance
Ascendas Real Estate Inv TRT	AREIT SP Equity	SGD	2.33	2.2135	2.750	118.1424%
DBS Group Holdings Ltd	DBS SP Equity	SGD	16.60	15.7700	21.350	128.6145%
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	7.130	100.7019%
Sembcorp Marine Ltd	SMM SP Equity	SGD	4.61	4.3795	1.860	40.3471%
Singapore Telecommunications Limited	ST SP Equity	SGD	3.68	3.4960	3.720	101.0870%

Bloomberg code for reference only.

Performance on Observation Date(4), 16 October 2017

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.

Bonus Interest Rate = 0%

Total guaranteed interest of 6.4% was paid to-date.

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2014

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2015

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2016

Guaranteed Fixed Interest Rate of 1.6% was paid on 23 October 2017

**UOB Principal Guaranteed Structured Deposit
SD 2013 – Series (3)**

Return Tracker

Performance on Observation Date(3), 14 October 2016

Underlying Shares	Bloomberg Code	Currency	Initial Price, 21 Oct 2013	95% of Initial (Barrier)Price	Closing Price, 14-Oct-16	Performance
Ascendas Real Estate Inv TRT	AREIT SP Equity	SGD	2.33	2.2135	2.420	103.9653%
DBS Group Holdings Ltd	DBS SP Equity	SGD	16.60	15.7700	15.030	90.5422%
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	5.290	74.7143%
Sembcorp Marine Ltd	SMM SP Equity	SGD	4.61	4.3795	1.320	28.6334%
Singapore Telecommunications Limited	ST SP Equity	SGD	3.68	3.4960	3.880	105.4348%

Bloomberg code for reference only.

Performance on Observation Date(3),14 October 2016

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.
Bonus Interest Rate = 0%

Total guaranteed interest of 4.8% was paid to-date.

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2014
Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2015
Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2016

**UOB Principal Guaranteed Structured Deposit
SD 2013 – Series (3)**

Return Tracker

Performance on Observation Date(2), 14 October 2015

Underlying Shares	Bloomberg Code	Currency	Initial Price, 21 Oct 2013	95% of Initial (Barrier)Price	Closing Price, 14-Oct-15	Performance
Ascendas Real Estate Inv TRT	AREIT SP Equity	SGD	2.33	2.2135	2.420	103.8627%
DBS Group Holdings Ltd	DBS SP Equity	SGD	16.60	15.7700	17.430	105.0000%
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	7.290	102.9617%
Sembcorp Marine Ltd	SMM SP Equity	SGD	4.61	4.3795	2.600	56.3991%
Singapore Telecommunications Limited	ST SP Equity	SGD	3.68	3.4960	3.860	104.8913%

Bloomberg code for reference only.

Performance on Observation Date(2), 14 October 2015

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.

Bonus Interest Rate = 0%

Total guaranteed interest of 3.2% was paid to-date.

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2014

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2015

UOB Principal Guaranteed Structured Deposit SD 2013 – Series (3)

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Performance on Observation Date(1), 14 October 2014

Underlying Shares	Bloomberg Code	Currency	Initial Price, 21 Oct 2013	95% of Initial (Barrier)Price	Closing Price, 14-Oct-14	Performance
Ascendas Real Estate Inv TRT	AREIT SP Equity	SGD	2.33	2.2135	2.200	94.4206%
DBS Group Holdings Ltd	DBS SP Equity	SGD	16.60	15.7700	17.960	108.1928%
Keppel Corporation Limited	KEP SP Equity	SGD	3.67	3.4865	3.240	88.2834%
Sembcorp Marine Ltd	SMM SP Equity	SGD	4.61	4.3795	3.650	79.1757%
Singapore Telecommunications Limited	ST SP Equity	SGD	3.68	3.4960	3.710	100.8152%

Bloomberg code for reference only.

Performance on Observation Date(1), 14 October 2014

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.
Bonus Interest Rate = 0%

Total guaranteed interest of 1.6% was paid to-date.

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2014

Notice of Corporate Action

¹Keppel Land Limited (KPLD SP) / Keppel Corporation Limited (KEP SP)

Corporate Action : Acquisition
Additional Details : Keppel Land Limited (KPLD SP) was acquired at SGD 4.24 per share and will be replaced by Keppel Corporation Limited (KEP SP).
Effective Date : 16 July 2015

Adjustment Price Ratio = Acquisition Price / Closing Price = SGD 4.24 / SGD 3.67 = 1.155313
Adjusted Initial Price = Closing Price of KEP SP on effective date / Adjustment Price Ratio = SGD 8.18 / 1.155313 = **SGD 7.0803**

²Ascendas Real Estate Inv TRT (AREIT SP)

Corporate Action : Rights Issue
Details : Existing shareholders will receive 3 rights issue for every 80 shares of AREIT SP held.
The subscription price for each rights issued is SGD 2.218
Effective Date : 28 December 2015

Adjustment Factor : 0.999017

Original Initial Price : SGD 2.330
Adjusted Initial Price : SGD 2.330 x 0.999017 = SGD 2.3277

³DBS Group Holdings Ltd (DBS SP)

Corporate Action : Special Dividend
Additional Details : Special Cash SGD 0.50
Effective Date : 03 May 2018

Adjustment Factor : 0.983339

Original Initial Price : SGD 16.6
Adjusted Initial Price : SGD 16.3 x 0.983339 = SGD 16.3234