

# Account Opening for Non-Individual Customers

Thank you for choosing UOB for your business needs.

We are pleased to attach herewith an account-opening pack to assist you in opening an account with us. It includes a document checklist, a non-individual account application form and other forms. The documents in the checklist must be provided to the Bank to facilitate the opening of the account.

You can obtain and read the terms and conditions governing our non-individual accounts at [uob.com.sg](http://uob.com.sg) by clicking on the following hyperlinks:

1. [Terms and Conditions Governing Accounts and Services](#)
2. [Additional Terms and Conditions Governing Accounts and Services](#)

If you have any enquiry, please contact your Relationship Manager or visit any of our branches or call **1800 226 6121** to speak with our customer service officers.

We look forward to being of service to you.

# Accounts and Services Resolution

## Certified True Extract of Resolutions

I/We, the undersigned, hereby certify that in respect of the Applicant (as defined in the extract below), the following Resolutions dated \_\_\_\_/\_\_\_\_/\_\_\_\_ (DD/MM/YYYY) have been duly adopted and passed by:

- where the Applicant is a corporation,**  
the Board of Directors of the corporation in accordance with its constitutive documents,
- where the Applicant is a limited liability partnership ("LLP"),**  
the partners of the LLP in accordance with its LLP agreement,
- where the Applicant is a limited partnership ("LP"),**  
the partners of the LP in accordance with its LP agreement,
- where the Applicant is a partnership,**  
the partners of the partnership in accordance with its partnership agreement,
- where the Applicant is a society, association or club,**  
the duly authorised committee or body of the society, association or club in accordance with its rules, charter or constitution,
- where the Applicant is a management corporation of a building ("management corporation"),**  
the council of the management corporation in accordance with the Building Maintenance and Strata Management Act (Chapter 30C) of Singapore,

which Resolutions are now in full force and effect.

### Note

Where Applicant is a Corporation/LLP/ LP/Partnership/Society/Association/Club/ Management Corporation of a Building.

## Resolved:

### Appointment of Bankers

1. That United Overseas Bank Limited ("the Bank") be and is hereby appointed as banker of

\_\_\_\_\_  
(the "Applicant").

### Accounts and Services

2. That the Applicant be authorised to open any number of accounts of any type and in any currency (each an "Account" and collectively "Accounts") with the Bank and to use any of the banking services offered by the Bank from time to time including but not limited to business internet banking, phone banking, self-service machines, cash management, trade services or such other types of services which may be available from the Bank from time to time (each a "Service" and collectively "Services").

3. That for the purpose of these Resolutions, the definitions "Accounts" and "Services" shall mean all Accounts and Services of the Applicant with the Bank including Accounts and Services existing as at the date hereof ("Existing Accounts and Existing Services").

### Appointment and Authority of Approved Persons, Approved Signatories and Approved Users

4. Approved Persons: That the persons whose names are set out in the Schedule hereto be and are hereby appointed as "Approved Persons" with authority to take the following actions in accordance with the signing conditions set out in the Schedule hereto, for and on behalf of and in the name of the Applicant:

- (a) to open and close any Account with the Bank;
- (b) to apply for and terminate the use of any of the Services including business internet banking, phone banking, self-service machines, cash management and trade services, with the Bank;
- (c) to agree to abide by the terms and conditions governing the Accounts and Services of the Bank and to accept and agree to all such specific terms and conditions as the Bank may prescribe from time to time in respect of a specific Account or Service;
- (d) to appoint, add, remove and/or replace any person or persons (including themselves) as signatories of the Accounts ("Approved Signatories") and to set and determine the mandate or authority of the Approved Signatories and to confirm their appointment and certify their specimen signatures and such other particulars as the Bank may require;

(e) to appoint, add, remove and/or replace any person or persons (including themselves and the Approved Signatories) as signatories or users of the Services ("Approved Users") and to set and determine the mandate or authority of the Approved Users and to confirm their appointment and certify their specimen signatures and such other particulars as the Bank may require;

(f) to provide such security as may be required by the Bank in connection with the Accounts and/or Services, and to sign the security documents required by the Bank;

(g) to give the Bank written instructions, consents or indemnities in connection with the Accounts and the Services; and

(h) to sign any other document as may be required or appropriate or which is incidental to the matters authorised by these Resolutions generally.

5. Approved Signatories: That the Approved Signatories be and are hereby authorised to perform the following acts and things for and on behalf of the Applicant, according to the signatory requirements and limits (if any) set by the Approved Persons:

- (a) to operate the Accounts and to close any Account of which they are the Approved Signatories;
- (b) to apply for, obtain, use and avail of any temporary overdraft or ad hoc trade or other facility or banking accommodation (including without limitation bankers' guarantees, letters of credit, bills of exchange purchased, bills discounting, trust receipts) and in connection therewith: (i) to pledge, charge, assign, endorse and deliver such goods, moneys, bills of lading, warehouse receipts, rights, title and interests as the Bank may require as security for the facility or banking accommodation; (ii) to sign letters of pledge, charge, indemnity, guarantee, deposit, trust receipt or hypothecation and any other document as the Bank may require; (iii) to give valid receipts and full discharges to the Bank for moneys, documents, securities or other property released by the Bank to the Applicant;
- (c) to enter into foreign exchange trades and transactions with the Bank and to sign all documents in connection therewith;
- (d) to authorise and request the Bank to purchase or sell for account of the Applicant, currencies, stocks, bonds and other securities and gold or other precious metals and to sign all documents in connection therewith; and
- (e) to deal and agree with the Bank on any of the matters set out in this Resolution 5 generally.

6. Approved Users: That the Approved Users shall use the Services according to the authority specified by the Approved Persons for each of them and each Approved User may terminate his own use of the Services at any time. The Approved Users shall include the persons (by whatever name called in the documents for the Services) who have been appointed to use the Services by the Approved Persons.

# Accounts and Services Resolution

7. That the Bank be and is hereby authorised to act on any instruction from the Approved Persons, Approved Signatories or Approved Users and honour any instrument, document, or instruction given by them within the limits and scope of their authority.

## **Change of Approved Persons, Approved Signatories and Approved Users**

8. **Change of Approved Persons:** That any amendment to the list of Approved Persons, their authority and/or their signing conditions shall only be made by amending resolutions duly passed by the Applicant, and communicated to the Bank who shall be given a reasonable period of time to effect the amendment.

9. **Change of Approved Signatories and Approved Users:** That any amendment to the list of Approved Signatories and Approved Users and their authority shall only be made by written notice given by the Approved Persons signing according to the signing conditions set out in the Schedule hereto and communicated to the Bank who shall be given a reasonable period of time to effect the amendment.

## **Resolutions in Force and Reasonable Time for Amendment**

10. That the Bank may rely on these Resolutions and the instructions given by the Approved Persons, Approved Signatories and Approved Users under their respective authority until the Bank has received and accepted to be in order, written notice of amendment or revocation of their authority or of these Resolutions and has had a reasonable period of time to give effect to the notice. The Bank shall be entitled to a reasonable period of not less than seven (7) business days from receipt of notice to process the notice. Before the Bank has updated its record, the Bank may act in reliance on the mandates in force prior to receipt of the notice.

## **Amending Resolution for Existing Accounts and Existing Services - Authority for Approved Persons**

11. That the authority conferred on the Approved Persons by these Resolutions may be exercised by the Approved Persons in respect of all Existing Accounts and Existing Services including the authority to amend and revoke the mandate for any such Existing Accounts and Existing Services and until they are amended or revoked, the mandates for the Existing Accounts and Existing Services will remain in force.

## **Authority to Pay**

12. That the Bank may debit the Accounts with any cheque, bill or note drawn on the Accounts or based on instructions, directions or orders given in respect of the Accounts whether the Accounts are in credit or overdrawn or may become overdrawn in consequence of such debit provided that such cheques, bills, notes, instructions, directions or orders are given or signed by the Approved Signatories acting within the limits and scope of their authority.

13. That the Bank be and is hereby authorised to honour all cheques, bills and notes without inquiry as to the circumstances of issue or the disposition of the proceeds even if drawn to the individual order of the person(s) signing, or payable to the Bank or others for his/their account or tendered in payment of his/their obligations.

## **Provision of Constitutive Documents**

14. That the Bank be given a certified true copy of such constitutive documents in respect of the Applicant as the Bank may require, certified by any director/company secretary/office bearer (see Note 1) / two partners (see Note 2) of the Applicant.

## **Certification of Approved Persons, Specimen Signatures, and Copies/Extracts of Resolutions**

15. That as between the Applicant and the Bank:

(a) where the Applicant is a corporation, any one director and company secretary or any two directors or such person(s) authorised under its constitutive documents,

(b) where the Applicant is a LLP, LP or a partnership, all its partners (see Note 2) or such person(s) authorised under its LLP agreement, LP agreement or partnership agreement,

(c) where the Applicant is a society, association, club or a management corporation, any two of its office bearers (see Note 1),

be and are hereby authorised to certify to the Bank:

(i) the list of Approved Persons, their designation or title and their specimen signatures; and

(ii) a true copy or extract of any resolution passed by the Applicant (including these Resolutions) in connection with the Accounts and Services and such certification may be relied upon by the Bank as conclusive evidence of the passing of the resolutions so certified.

## **Notes:**

1. A reference to an "office bearer" shall be read as a reference to a person who is the president, the secretary, the treasurer, of the committee or other governing body (or persons holding similar or equivalent positions) of an Applicant which is a society, an association, a club or a management corporation.

2. A reference to a "partner" shall be read to include any person who is an authorised representative of such partner.

# Accounts and Services Resolution

## Schedule of Approved Persons

Applicant name

\_\_\_\_\_

Signing Conditions of Approved Person(s)

Singly     Any two jointly     Others Please specify, e.g. "One A and One B OR One A and One C OR Two Bs"

\_\_\_\_\_

Particulars of Approved Person(s)

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Assign signing group

A     B     C     Others \_\_\_\_\_

Designation

\_\_\_\_\_

Specimen signature

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Assign signing group

A     B     C     Others \_\_\_\_\_

Designation

\_\_\_\_\_

Specimen signature

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Assign signing group

A     B     C     Others \_\_\_\_\_

Designation

\_\_\_\_\_

Specimen signature

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Assign signing group

A     B     C     Others \_\_\_\_\_

Designation

\_\_\_\_\_

Specimen signature

# Accounts and Services Resolution

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Assign signing group

A  B  C  Others \_\_\_\_\_

Designation

\_\_\_\_\_

Specimen signature

Certified on \_\_\_\_ / \_\_\_\_ / \_\_\_\_ (DD/MM/YYYY) by the following persons for and on behalf of the Applicant:

Signature

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Designation

\_\_\_\_\_

Signature

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Designation

\_\_\_\_\_

Signature

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Designation

\_\_\_\_\_

Signature

Name (As per ID document)

\_\_\_\_\_

ID number (NRIC/Passport/FIN)

\_\_\_\_\_

Designation

\_\_\_\_\_

**Management Corporation Strata Title (MCST)**

**Please bring the following documents to the branch for account opening:**

- Non-individual Account Application Form and Supplement (if applicable)**  
Please complete the form with the required details. The completed form must be signed in the presence of an authorised UOB officer.

**FATCA-Self-Certification**

- Please complete Section 3.0 of the Application Form or
- U.S. IRS Form W-8BEN-E/W-8IMY/W-8ECI/W-9 (if applicable)  
(U.S. IRS forms can be found under 'Forms & Pubs' tab located at U.S. IRS website (<https://www.irs.gov/>))

**Supplement- Application Form For Callback Nomination (Non-Individual)**

- Account and Services Resolution**  
The Accounts and Services Resolution is to be certified by two office bearers^.
- Original identification documents of:**
- **All persons who certified the Accounts and Services Resolution**
  - **All approved persons**
  - **All approved signatories**
- The above individuals must be present at the branch with their original identification documents (such as NRIC or passport) to open the account.
- Minutes of Meeting of Management Corporation (in respect of the appointment of office bearers) OR Letter from Ministry of National Development (Building & Construction Authority)**  
Please provide a certified true copy signed by any one office bearer.
- Certificate of Constitution of Management Corporation**  
Please provide a certified true copy signed by any one office bearer.

**If applicable, please also provide:**

- Rules and Regulations/Bye-Laws**  
This document is required if the office bearers are not indicated in the Minutes of Management Corporation.
- Identification documents of at least 2 Directors and shareholders with a holding of 25% or more of the issued shares in the company who are not present at the point of account opening**  
A copy of the identification document (such as NRIC or passport) of such shareholders must be certified by any one Director or the Company Secretary.
- Proof of residential address**  
If the residential address is not stated in an identification document, please provide original bank statements or utility bills issued to a residential address in the last six months as a proof.

^ An "office bearer" refers to the chairman/president, secretary or treasurer of the committee or other governing body (or persons holding similar or equivalent positions).



**Important Note:**

The Bank's receipt of the application form and supporting documents does not bind the Bank to open an account. The Bank reserves the right to reject the application without disclosing any reason.

**Notes:**

1. The Bank reserves the right to conduct a search on the MCST and any cost incurred will be payable by the MCST. Search fees paid by the MCST are non-refundable in the event that the Bank rejects the application to open the account.
2. A service charge will be levied on accounts with an average daily balance that is below the amount set by the Bank. Please visit [uob.com.sg](http://uob.com.sg) for the prevailing account fees and service charges.
3. The Bank may request additional supporting documents as it deems necessary.

# Corporate Account Opening

## Application for Non-Individual Accounts and Services

Registered business name

Business registration number

Annual turnover

SGD , 000

Nature of business

Maximum 70 characters

Primary country of operations

Mailing address

If different from Registered Address.

## Business Contact Information

For all setup related enquiries and notifications.

Business contact person name

Business contact number

+

Business email address

Business fax number

+

### For Bank Use

Application number:

CTO code:

MAS industry code:

Remarks:

Attended by:

Signature verified  
and approved by:



RIGHT BY YOU



# 1.0 Account Setup



Complete this section to request for accounts and specify the services enabled for each account. By enabling Business Internet Banking, you will have access to UOB Business Internet Banking via all desktop/laptop, mobile, tablet and UOB Business app.

Use Supplement - Additional Accounts and Services to request for more than 3 accounts.

**Account Name 1** \_\_\_\_\_  
Defaulted to registered business name if left blank. 70 characters maximum.

### Type of account (Select one)

- Current account
- Fixed deposit
- Others \_\_\_\_\_  
\_\_\_\_\_

### Currency of account

\_\_\_\_\_

### Purpose of account

- Transactional
- Loan repayment
- Investment
- Others \_\_\_\_\_  
\_\_\_\_\_

### Source of funds

- Business proceeds
- Return on investments
- Others \_\_\_\_\_  
\_\_\_\_\_

### Country of fund origin

Main country  
\_\_\_\_\_  
Additional countries If applicable  
\_\_\_\_\_

### Account Services

- Business Internet Banking**
- eAlerts!**
- Register for PayNow Corporate**  
For SGD account only. Optional alphanumeric suffix. The Bank will assign a suffix at its sole discretion if the requested PayNow ID cannot be allocated.

### PayNow ID

Unique Entity No. (UEN) + \_\_\_\_\_  
Suffix

Leave blank if same Business Contact Information to be used to receive registration status updates.

### Mobile number

+ \_\_\_\_\_

### Email address

\_\_\_\_\_

**Number of chequebooks** Defaulted to one chequebook per account if left blank.

\_\_\_\_\_

### For Bank Use

Account number

\_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

# 1.0 Account Setup



**Account Name 2** \_\_\_\_\_  
Defaulted to registered business name if left blank. 70 characters maximum.

**Type of account (Select one)**

- Current account
- Fixed deposit
- Others \_\_\_\_\_  
\_\_\_\_\_

**Purpose of account**

- Transactional
- Loan repayment
- Investment
- Others \_\_\_\_\_  
\_\_\_\_\_

**Currency of account**

\_\_\_\_\_

**Source of funds**

- Business proceeds
- Return on investments
- Others \_\_\_\_\_  
\_\_\_\_\_

**Country of fund origin**

Main country  
\_\_\_\_\_

Additional countries If applicable  
\_\_\_\_\_

**Account Services**

**Business Internet Banking**

**eAlerts!**

**Register for PayNow Corporate**

For SGD account only. Optional alphanumeric suffix. The Bank will assign a suffix at its sole discretion if the requested PayNow ID cannot be allocated.

**PayNow ID**

Unique Entity No. (UEN) + \_\_\_\_\_  
Suffix

Leave blank if same Business Contact Information to be used to receive registration status updates.

Mobile number

+ \_\_\_\_\_

Email address

\_\_\_\_\_

**Number of chequebooks** Defaulted to one chequebook per account if left blank.

\_\_\_\_\_

**For Bank Use**

Account number

\_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

**Account Name 3** \_\_\_\_\_  
Defaulted to registered business name if left blank. 70 characters maximum.

**Type of account (Select one)**

- Current account
- Fixed deposit
- Others \_\_\_\_\_  
\_\_\_\_\_

**Purpose of account**

- Transactional
- Loan repayment
- Investment
- Others \_\_\_\_\_  
\_\_\_\_\_

**Currency of account**

\_\_\_\_\_

**Source of funds**

- Business proceeds
- Return on investments
- Others \_\_\_\_\_  
\_\_\_\_\_

**Country of fund origin**

Main country  
\_\_\_\_\_

Additional countries If applicable  
\_\_\_\_\_

**Account Services**

**Business Internet Banking**

**eAlerts!**

**Register for PayNow Corporate**

For SGD account only. Optional alphanumeric suffix. The Bank will assign a suffix at its sole discretion if the requested PayNow ID cannot be allocated.

**PayNow ID**

Unique Entity No. (UEN) + \_\_\_\_\_  
Suffix

Leave blank if same Business Contact Information to be used to receive registration status updates.

Mobile number

+ \_\_\_\_\_

Email address

\_\_\_\_\_

**Number of chequebooks** Defaulted to one chequebook per account if left blank.

\_\_\_\_\_

**For Bank Use**

Account number

\_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_



## 1.1 Business Internet Banking Setup

### Select one

- Existing Business Internet Banking Setup  
Proceed to Section 2.0 Operating Mandate.
- New to Business Internet Banking  
Complete below section.

### Important Note

Security Alert: Be aware of threats in the cyberspace. For more information about the threats and how you can be protected, please visit [http://www.uob.com.sg/security\\_advisory](http://www.uob.com.sg/security_advisory)

This form only allows any one Administrator to create and approve all administrative setups. If you wish to have two Administrators to create and approve all administrative setups, please use a separate Business Internet Banking Customise Full Registration form.

### Preferred Company Group ID

Minimum of 8 characters with no spacing or special characters. Bank will assign an ID at its sole discretion if the Preferred Company Group ID is left blank or cannot be allocated.

### User role type (Select one)

Role selection	Can Authoriser(s) approve own transactions?
<input type="radio"/> <b>Standard</b> All users will be able to: <ol style="list-style-type: none"> <li>1. View account details (Enquirer).</li> <li>2. Create (Maker) and approve (Authoriser) transactions.</li> <li>3. Perform administrative setup, create/maintain all user and access profiles, and assign tokens (Administrator).</li> </ol> Default option if left blank.	<input checked="" type="radio"/> <b>Yes, Single Control</b> Authoriser can create and approve the same transaction. Note that the Applicant's account(s) may be more susceptible to account fraud as compared to Dual Control, where another person can verify and confirm the transaction.
<input type="radio"/> <b>Customised</b> Each user can have different roles. Specify role(s) for each user in Section 2.3 Approved Signatories/Business Internet Banking Users.	<input type="radio"/> <b>No, Dual Control</b> Authoriser can create a transaction BUT cannot approve the same transaction which must be approved by another Authoriser. Default option if left blank. Where Applicant has only one user, Single Control will apply.
	<input type="radio"/> <b>Yes, Single Control</b> Authoriser can create and approve the same transaction. Note that the Applicant's account(s) may be more susceptible to account fraud as compared to Dual Control, where another person can verify and confirm the transaction.

### Designated account for token and services charges where applicable

Account number

\_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_

Defaulted to any current account if left blank.

### Additional account(s) to include

- Link all active account(s) held as at the date of Bank's processing of this application
- Link my existing UOB account(s)

Account number

\_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_

\_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_

\_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_

\_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_

### Additional services

Fees may apply.

- Bulk services**  
Payroll, Collection & Payment with Beneficiary Payment Advice.  
Assign at least one Authoriser, Payroll Maker and Payroll Authoriser.
- SWIFT remittance message copy**  
To receive a copy of the SWIFT MT103 message for remittances.
- eFX**  
To allow quoting for FX contracts with existing facilities.  
Assign at least one Authoriser.

### Authorised Business Internet Banking Contact Person

Leave blank if same Business Contact Information to be used for Business Internet Banking Setup related enquires.

Contact person name

\_\_\_\_\_

Mobile number

+ \_\_\_\_\_

Email address

\_\_\_\_\_



## 1.2 eAlerts! Setup

Complete this section to define how you would like to receive Cash Alerts for the account(s) in Section 1.0 Account Setup and Supplement-Additional Accounts and Services (if any).

Monthly Subscription Charges:

Alert Service	Cash Alerts	Trade Alerts
<b>SMS</b>	\$8 (per mobile no. per account)	Waived
<b>Email</b>	Waived	Waived

### Select one

- All new accounts requested for eAlerts! in this Application.  
Default option if left blank.
- For specified accounts only  
Specify and use Supplement - Additional eAlerts! Setup to define the Alert details for the remaining account(s).

### Cash Alerts Setup

This will be set up by default when you sign up for eAlerts. Select at least one type of Cash Alert.

#### Type of Cash Alerts

- A/C balance (ABL)  
Daily (Monday to Friday) 9am and 6pm alert
- Notify me when my designated notification account balance falls below the threshold value (ABB)
- Notify me when my designated notification account balance is above the threshold value (ATA)
- Notify me of Incoming Funds that equal or exceeding threshold amount (INF)
- Notify me of debit amount equal or exceeding threshold amount (LDB)
- Notify me when cheques are returned (INR)

#### Threshold amount

N.A

Default to 8,500 units of the account currency if left blank

Default to 100,000 units of the account currency if left blank

Default to 5,000 units of the account currency if left blank

Default to 5,000 units of the account currency if left blank

Default to 5,000 units of the account currency if left blank

### Trade Alerts

You will receive all UOB Trade Alerts as listed in the eAlerts! Glossary if you have any valid trade facility with the Bank at the time of this Application.

### Important information regarding eAlerts!

- UOB eAlerts! is available via Email and SMS. SMS Alerts are available for Singapore registered mobile number as well as Overseas mobile number.
- Trade Alerts are for notification purposes only and do not indicate that the trade documents are ready for collection. All existing arrangements with the Bank applies.
- Trade Alerts are available Monday to Friday excluding Saturday, Sunday and Public Holidays.
- Trade Alerts will be sent by batches or per transaction basis. Reminders will be sent two days prior to the due date and overdue reminders will be sent on next working day past due date. Transaction notification will be sent at 30 minutes interval between 7:00am to 8:30pm.
- Frequency and time of notification received are indicative and subject to change. UOB reserves the right to change the frequency and time of notification without prior notice.
- Termination of UOB eAlerts! require minimum 30 days prior written notice to the Bank.

## 2.0 Operating Mandate



This section allows you to describe how the accounts in Section 1.0 Account Setup are to be operated on and who can operate them. This Operating Mandate will apply to account(s) and where applicable, new Business Internet Banking Setup.

### Important for Business Internet Banking Setup

The Operating Mandate defined in this section is for new Business Internet Banking Setup only.

For existing customers who have selected 'Existing Business Internet Banking Setup' in Section 1.1, the Business Internet Banking Setup for new account(s) specified in Section 1.0 Account Setup will follow the existing Business Internet Banking Setup for your existing account(s).

Use a separate Business Internet Banking Maintenance form to update Transaction Approval Limits, Approval Mandate and Business Internet Banking Users if required.

### 2.1 Mandate Scope

#### Select the account scope of this mandate

- For ALL new accounts requested in this Application including Supplement – Additional Accounts and Services (if any) Default option if left blank.
- For specified accounts only  
Specify and use Supplement - Additional Operating Mandate to define the Operating Mandate for the remaining new account(s).

#### Select to define new Operating Mandate or use existing Operating Mandate

<input type="radio"/> <b>New Operating Mandate</b>	<p>Complete Section 2.2 to define Authorisation Limits and Signing Requirement/Approval Mandate and Section 2.3 to set out Approved Signatories/Business Internet Banking Users.</p> <p>Proceed to complete Section 2.2 Authorisation Limits and Signing Requirement/ Approval Mandate.</p>	
<input type="radio"/> <b>As per existing UOB Corporate account</b>  Not applicable for new Business Internet Banking Setup.	<p>Enter UOB Account number Must state account of the same currency. Authorisation Limits, Signing Requirement and Approved Signatories of the accounts in Section 2.1 Mandate Scope will follow that of the account stated below.</p> <p>____ - ____ - ____ - ____</p> <p>Proceed to complete Section 3.0 Tax Self-Declarations.</p>	

### 2.2 Authorisation Limits and Signing Requirement/Approval Mandate

**Authorisation Limits (Select one)**  
Both currency and amounts need to be specified.

**Business Internet Banking Transaction Approval Limits**  
Defaulted to SGD.

**Signing Requirement/Approval Mandate (Select one)**

- |                                   |                                       |                               |                               |                                    |
|-----------------------------------|---------------------------------------|-------------------------------|-------------------------------|------------------------------------|
| <input type="radio"/> Any amount  | <input type="radio"/> Any amount      | <input type="radio"/> Any one | <input type="radio"/> Any two | <input type="radio"/> Others _____ |
| <input type="radio"/> Up to _____ | <input type="radio"/> Up to SGD _____ | <input type="radio"/> Any one | <input type="radio"/> Any two | <input type="radio"/> Others _____ |
| <input type="radio"/> Up to _____ | <input type="radio"/> Up to SGD _____ | <input type="radio"/> Any one | <input type="radio"/> Any two | <input type="radio"/> Others _____ |
| <input type="radio"/> Up to _____ | <input type="radio"/> Up to SGD _____ | <input type="radio"/> Any one | <input type="radio"/> Any two | <input type="radio"/> Others _____ |
| <input type="radio"/> Above _____ | <input type="radio"/> Above SGD _____ | <input type="radio"/> Any one | <input type="radio"/> Any two | <input type="radio"/> Others _____ |

Authorisation Limits are cumulative, e.g. these Approved Signatories may also approve lower amounts.

Foreign currency transactions will be calculated based on the SGD-equivalent Transaction Approval Limit indicated above, according to the exchange rate as determined by the Bank. The Transaction Approval Limit will apply to all Authorisers.

Other Signing Requirement/Approval Mandate

## 2.0 Operating Mandate



### 2.3 Approved Signatories and Approved Users

Complete this section with person details and specify their roles as Approved Signatories, Business Internet Banking Users and/or eAlerts! Recipients.

Use Supplement- Additional Approved Signatories and Approved Users to appoint more than 4 Approved Signatories, Business Internet Banking Users and/or eAlerts! Recipients.

Use Supplement - Additional Call Back Nominees to appoint additional Call Back Nominees.

#### Important for Business Internet Banking Setup

A set of default roles will be assigned to every Business Internet Banking User if Standard Role type is selected in Section 1.1 Business Internet Banking Setup.

Any 'Customised' role selected in this section will take precedence over your selection of 'Standard' role in Section 1.1

By selecting Payroll Maker and/or Payroll Authoriser, you will be subscribed to Business Internet Banking Bulk services for which fees may apply.

The Bank will assign a User ID at its sole discretion if the Preferred User ID is left blank or cannot be allocated.

Name (As per ID document)

  

Designation

ID number (NRIC/Passport/FIN)

Assign signing group

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

ID issuing country

Country of birth

Office number

+

Mobile number For transaction related enquiries and to receive SMS notifications.

+

Email address To receive email notifications.

Specimen signature

Set as Approved Signatory

Set as Business Internet Banking User  
For new Business Internet Banking customer only.

Preferred User ID

Minimum 8 characters with no space or special characters.

Select Customised role type

Skip if Standard role is selected in Section 1.1.

- Enquirer  Administrator  
 Maker  Payroll Maker  
 Authoriser  Payroll Authoriser

Set as eAlerts! Recipient

Alert scope

- Cash Alert  Trade Alert

Channel Monthly subscription fee applies for Cash Alerts via SMS.

- Email  SMS

Name (As per ID document)

  

Designation

ID number (NRIC/Passport/FIN)

Assign signing group

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

ID issuing country

Country of birth

Office number

+

Mobile number For transaction related enquiries and to receive SMS notifications.

+

Email address To receive email notifications.

Specimen signature

Set as Approved Signatory

Set as Business Internet Banking User  
For new Business Internet Banking customer only.

Preferred User ID

Minimum 8 characters with no space or special characters.

Select Customised role type

Skip if Standard role is selected in Section 1.1.

- Enquirer  Administrator  
 Maker  Payroll Maker  
 Authoriser  Payroll Authoriser

Set as eAlerts! Recipient

Alert scope

- Cash Alert  Trade Alert

Channel Monthly subscription fee applies for Cash Alerts via SMS.

- Email  SMS

**Enquirer**—View account details only.

**Administrator**—Create/maintain all user and access profiles. Maintain user(s) passwords and assign tokens to all user(s) except Administrators and Authorisers.

**Maker**—Create all types of transactions (except Payroll) and view account details.

**Payroll Maker**—Create Payroll transactions, upload Payroll files and view Payroll details.

**Authoriser**—Create/approve transactions (except Payroll) and view account details.

**Payroll Authoriser**—Create/approve Payroll transactions and view Payroll details.

## 2.0 Operating Mandate



Name (As per ID document)

  

Designation

Set as Approved Signatory

Set as Business Internet Banking User  
For new Business Internet Banking customer only.

ID number (NRIC/Passport/FIN)

**Assign signing group**

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

**Preferred User ID**

Minimum 8 characters with no space or special characters.

ID issuing country

Country of birth

Office number

+

**Mobile number** For transaction related enquiries and to receive SMS notifications.

+

**Email address** To receive email notifications.

Specimen signature

**Select Customised role type**

Skip if Standard role is selected in Section 1.1.

Enquirer  Administrator  
 Maker  Payroll Maker  
 Authoriser  Payroll Authoriser

Set as eAlerts! Recipient

**Alert scope**

Cash Alert  Trade Alert

**Mode of Notification** Monthly subscription fee applies for Cash Alerts via SMS.

Email  SMS

Name (As per ID document)

  

Designation

Set as Approved Signatory

Set as Business Internet Banking User  
For new Business Internet Banking customer only.

ID number (NRIC/Passport/FIN)

**Assign signing group**

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

**Preferred User ID**

Minimum 8 characters with no space or special characters.

ID issuing country

Country of birth

Office number

+

**Mobile number** For transaction related enquiries and to receive SMS notifications.

+

**Email address** To receive email notifications.

Specimen signature

**Select Customised role type**

Skip if Standard role is selected in Section 1.1.

Enquirer  Administrator  
 Maker  Payroll Maker  
 Authoriser  Payroll Authoriser

Set as eAlerts! Recipient

**Alert scope**

Cash Alert  Trade Alert

**Mode of Notification** Monthly subscription fee applies for Cash Alerts via SMS.

Email  SMS

**Enquirer**—View account details only.

**Administrator**—Create/maintain all user and access profiles. Maintain user(s) passwords and assign tokens to all user(s) except Administrators and Authorisers.

**Maker**—Create all types of transactions (except Payroll) and view account details.

**Payroll Maker**—Create Payroll transactions, upload Payroll files and view Payroll details.

**Authoriser**—Create/approve transactions (except Payroll) and view account details.

**Payroll Authoriser**—Create/approve Payroll transactions and view Payroll details.

## 3.0 Tax Self-Declarations



### Important information regarding tax reporting requirements for US Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)

In order to comply with the regulatory requirements of FATCA and CRS, we are obliged to collect your tax self-declaration. Please complete the sections below as directed and provide any additional information that is requested. Refer to FATCA and CRS Glossary.

If you have any questions about this tax self-declaration form, please speak to your tax adviser.

#### Select declaration (Select one)

- New declaration**  
Proceed to complete Sections 3.1, 3.2, 3.3 and 3.4 as required.
- Refer to attached declarations**  
Choose this option if you have declared your tax status separately. Proceed to Section 4.0 Confirmation and Agreement.
- I have an existing declaration**  
No changes to the previous US withholding certificate or FATCA/CRS self-certification(s) submitted to UOB. Proceed to Section 4.0 Confirmation and Agreement.

Complete the following section based on your Entity type. Ticked sections are mandatory.

Section	3.1	3.2	3.3	3.4
<b>Sole Proprietorship</b> Individual Owners	✓	✓	N/A	N/A
<b>Sole Proprietorship</b> Non-Individual Owners	✓	✓	✓	Conditional
<b>Other Entity Type</b>	N/A	✓	✓	Conditional

### 3.1 Sole Proprietorship Owner's Information

This section is required only if you are a Sole Proprietorship. Provide the Sole Proprietorship (Individual/ Non-Individual) Owner's information and not the particulars of the Sole Proprietorship.

**Name/Registered business name**

\_\_\_\_\_

**Country of birth**

For Individual Owners only.

\_\_\_\_\_

**Date of birth (DD/MM/YYYY)**

For Individual Owners only.

\_\_\_\_/\_\_\_\_/\_\_\_\_

**Mailing address** If different from Residential/Registered address.

\_\_\_\_\_

**The following information is as set out in the ACRA search**

Skip Owner information below.

**ID number/Business registration number (NRIC/Passport/FIN/UEN)**

\_\_\_\_\_

**Nationality/Country of incorporation**

\_\_\_\_\_

**Residential address/Registered address**

\_\_\_\_\_

\_\_\_\_\_

### 3.2 Tax Residency

Select your tax residency(ies). If you are a Sole Proprietorship, provide the Sole Proprietorship (Individual/Non-Individual) owner's tax residency(ies). If the Entity is not tax resident in any country/ jurisdiction (e.g. because it is fiscally transparent), please provide its place of effective management or jurisdiction in which its principal office is located.

**Singapore** TIN number (Singapore) \_\_\_\_\_

**United States** TIN number (United States) \_\_\_\_\_

Specified US Person  Yes  No

**Others**

Country 1

\_\_\_\_\_

TIN number

\_\_\_\_\_

Country 2

\_\_\_\_\_

TIN number

\_\_\_\_\_

**If no TIN in country/jurisdiction**

Country where the Entity/Individual is resident does not issue TINs.

No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Entity/Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

\_\_\_\_\_

**If no TIN in country/jurisdiction**

Country where the Entity/Individual is resident does not issue TINs.

No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Entity/Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

\_\_\_\_\_





### 3.0 Tax Self-Declarations



	Instructions	FATCA status if non-US tax resident	CRS status
<input type="radio"/> <b>Financial Institution</b> Other Investment Entity.	1. Provide GIIN _____ . _____ . _____ . _____  2. Provide U.S. withholding certificate U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP.  3. Proceed to complete Section 4.0 Confirmation and Agreement.	Financial Institution	Financial Institution
<input type="radio"/> <b>Financial Institution</b> Depository Institution, Custodial Institution or Specified Insurance Company.	1. Provide GIIN _____ . _____ . _____ . _____  2. Provide U.S. withholding certificate U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP.  3. Proceed to complete Section 4.0 Confirmation and Agreement.		
<input type="radio"/> <b>Government Entity or Central Bank</b> Government and government-linked entities (including Singapore Ministries, Statutory Boards, Town Councils). Central Bank.	Proceed to complete Section 4.0 Confirmation and Agreement.	Exempt Beneficial Owner	Active Non-Financial Entity A Government Entity or Central Bank
<input type="radio"/> <b>International Organisations</b> Any international organisation or wholly owned agency or instrumentality thereof.	Proceed to complete Section 4.0 Confirmation and Agreement.		Active Non-Financial Entity An International Organisation
<input type="radio"/> <b>Other Status</b> If you do not fall under any of the above statuses, please specify your FATCA and CRS status.	1. FATCA Status _____ Provide U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP  2. CRS Status _____  3. Proceed to complete Section 4.0 Confirmation and Agreement.	As specified	As specified

## 3.0 Tax Self-Declarations



### 3.4 Controlling Person(s)

Complete below section only if your FATCA or CRS status is any of the following:  
 Passive Non-Financial Foreign Entity/Passive Non-Financial Entity/Financial  
 Institution-Investment Entity located in a Non-Participating Jurisdiction and managed  
 by another Financial Institution.

#### Important

You represent that the controlling person/s have confirmed that the information in this section is accurate and up to date.

<b>Name</b>	<b>Nationality</b>	<b>Date of birth (DD/MM/YYYY)</b>
_____	_____	____ / ____ / _____
<b>Percentage of ownership</b>	<b>Country of birth</b>	<b>Residential address</b>
_____ %	_____	_____
<b>ID number (NRIC/Passport/FIN)</b>	<b>ID issuing country</b>	
_____	_____	

#### Select your controlling person tax residency(ies)

**United States** TIN number (United States) \_\_\_\_\_

**Others**

Country 1	TIN number	Country 2	TIN number
_____	_____	_____	_____

#### If no TIN in country/jurisdiction

Country where the Individual is resident does not issue TINs.

No TIN is required.  
 Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
 \_\_\_\_\_

#### If no TIN in country/jurisdiction

Country where the Individual is resident does not issue TINs.

No TIN is required.  
 Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
 \_\_\_\_\_

<b>Name</b>	<b>Nationality</b>	<b>Date of birth (DD/MM/YYYY)</b>
_____	_____	____ / ____ / _____
<b>Percentage of ownership</b>	<b>Country of birth</b>	<b>Residential address</b>
_____ %	_____	_____
<b>ID number (NRIC/Passport/FIN)</b>	<b>ID issuing country</b>	
_____	_____	

#### Select your controlling person tax residency(ies)

**United States** TIN number (United States) \_\_\_\_\_

**Others**

Country 1	TIN number	Country 2	TIN number
_____	_____	_____	_____

#### If no TIN in country/jurisdiction

Country where the Individual is resident does not issue TINs.

No TIN is required.  
 Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
 \_\_\_\_\_

#### If no TIN in country/jurisdiction

Country where the Individual is resident does not issue TINs.

No TIN is required.  
 Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
 \_\_\_\_\_

### 3.0 Tax Self-Declarations



<b>Name</b> _____	<b>Nationality</b> _____	<b>Date of birth (DD/MM/YYYY)</b> ____/____/_____
<b>Percentage of ownership</b> _____%	<b>Country of birth</b> _____	<b>Residential address</b> _____
<b>ID number (NRIC/Passport/FIN)</b> _____	<b>ID issuing country</b> _____	_____

**Select your controlling person tax residency(ies)**

**United States**      TIN number (United States) \_\_\_\_\_

**Others**

Country 1	TIN number	Country 2	TIN number
_____	_____	_____	_____

**If no TIN in country/jurisdiction**

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

**If no TIN in country/jurisdiction**

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

<b>Name</b> _____	<b>Nationality</b> _____	<b>Date of birth (DD/MM/YYYY)</b> ____/____/_____
<b>Percentage of ownership</b> _____%	<b>Country of birth</b> _____	<b>Residential address</b> _____
<b>ID number (NRIC/Passport/FIN)</b> _____	<b>ID issuing country</b> _____	_____

**Select your controlling person tax residency(ies)**

**United States**      TIN number (United States) \_\_\_\_\_

**Others**

Country 1	TIN number	Country 2	TIN number
_____	_____	_____	_____

**If no TIN in country/jurisdiction**

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

**If no TIN in country/jurisdiction**

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

## 4.0 Confirmation and Agreement



We, the aforesaid Applicant named in this Application (all references to "Application" shall include the Supplements selected in 14. below), through our approved person(s) named below:

### Accounts and Services

1. hereby request United Overseas Bank Limited ("Bank") to open the Account(s) and provide the Services specified by us in this Application and confirm that we have obtained and agree to be bound by the Bank's prevailing Terms and Conditions Governing Accounts & Services, Additional Terms and Conditions Governing Accounts and Services, Privacy Notice (Corporate) and other specific terms and conditions applying to Accounts and Services that the Applicant makes use of from time to time including but not limited to the PayNow Terms and Conditions (Non-Individuals) in respect of any Account linked to PayNow Corporate (all as amended or supplemented from time to time and made available at uob.com.sg, the Bank's branches or through the Bank's electronic or internet banking services);

2. represent and warrant to the Bank that when we provide the Bank with the personal data of the Relevant individual(s) from time to time, we would have obtained the consent of the Relevant Individual(s) for the collection, use and disclosure of the personal data for Basic Banking Purposes, Co-branding Purpose, Research Purpose and Marketing Purpose as described in the Bank's Privacy Notice (Corporate);

3. agree that the Bank will not be liable to us for any actions the Bank considers appropriate in order to meet any obligation or requirement, either in Singapore or elsewhere in the world, in connection with the prevention of any unlawful activity including fraud, money laundering, terrorist activity, bribery, corruption, or tax evasion or the enforcement of any economic or trade sanction;

4. authorise the Bank to obtain and verify any information about us as the Bank deems fit from any person or source and retain all such information and documents collected;

5. confirm that all information including any document given or to be given to the Bank in connection with this Application is true, accurate and complete, can be relied on for the purpose of assessing this Application as well as the continued operation of the Account(s) and use of the Service(s) and we have not withheld any fact or information which will render any of the foregoing untrue, inaccurate or incomplete;

6. acknowledge that the Bank may reject this Application without providing reasons;

7. in consideration of the Bank accepting this Application, represent and warrant to the Bank that the signature(s) on this Application constitutes the specimen signature(s) of all our Approved Signatory(ies) for the time being for the purpose of operating the Account(s) unless and until we give written notice to the Bank of any change;

8. confirm that the representations, warranties and confirmations in this Application can be relied upon by the Bank for the purpose of assessing the Application and for the continued operation of the Account(s) and use of the Service(s);

### Business Internet Banking

9. where indicated, apply for UOB Business Internet Banking Service ("BIB Service") on the terms stated in this Application and:

(a) confirm that we have obtained and agree to be bound by the Bank's prevailing UOB Business Internet Banking Service Agreement ("BIB Agreement") (available at uob.com.sg) and any amendment or variation thereof;

(b) authorise the Bank to issue password(s) and token(s) to the Company User(s), Company Administrator(s) and Company Signatory(ies) as described in the BIB Agreement, where applicable. Company User refers to Enquirer, Maker and Payroll Maker. Company Administrator refers to Administrator. Company Signatory refers to Authoriser and Payroll Authoriser;

(c) (i) where we have selected "Standard" role, confirm that each of the user(s) named in this Application is authorised to approve transactions based on the Transaction Approval Limit set out in this Application or if we do not indicate the Transaction Approval Limit, to approve transactions of any amount and to apply for, agree to the terms of, operate and use any services made available through the BIB Service from time to time;

(ii) where we have selected "Customised" role, confirm that each of the Company Signatories named in this Application is authorised to approve transactions based on the Approval Mandate and Transaction Approval Limit set out in this Application or if we do not indicate the Approval Mandate or Transaction Approval Limit, to approve transactions of any amount and to apply for, agree to the terms of, operate and use any services made available through the BIB Service from time to time. If we indicate the Approval Mandate but not the Transaction Approval Limit, the Company Signatory(ies) is/are deemed to be authorised to approve transactions of any amount according to the Approval Mandate;

(d) confirm and agree that any existing mandate or instructions that we may have with the UOB Group Bank(s) will not apply to our use of BIB Service unless indicated in this Application;

(e) confirm that, in the event of any change of Company Administrators and/or Company Signatory(ies), the Applicant shall immediately revoke the relevant User IDs through the submission of request, instruction or relevant form to the Bank;

(f) authorise the Bank to debit all fees and charges relating to this Application and/or use of the BIB Service from the designated account or any other Account of the Applicant; and

(g) acknowledge that, where the Applicant has opted for Single Control in this Application instead of Dual Control, the Applicant's Account(s) for which the Applicant uses the BIB Service may be more susceptible to account fraud. By opting for Single Control, the Applicant agrees to assume responsibility for all the risks associated with Single Control and to indemnify and hold the Bank harmless from and against all costs, claims, losses, damages, charges and/or expenses which the Bank may sustain, incur or be liable for as a result of the Applicant opting for Single Control and/or the Applicant not providing all email addresses and mobile numbers and further agrees that the Bank may amend the BIB Agreement at any time and/or may terminate the Single Control option at any time with notice to the Applicant;

### eAlerts!

10. acknowledge that:

(a) we shall immediately notify you in writing of any change to the eAlerts! information in this Application;

(b) default threshold and monthly subscription fees are subject to change at the Bank's discretion without prior notice;

(c) we shall be bound by the terms and conditions governing the UOB eAlerts! as amended and supplemented from time to time (available at uob.com.sg/ealerts).

### Tax Self-Declarations

11. confirm that the information provided in Section 3.0 above is true and accurate and complete. No information has been withheld. We, hereby undertake to notify the Bank in writing of any change in circumstances that causes any information in Section 3.0 to become incorrect and to provide an appropriately updated Tax Self Declaration to the Bank within 30 days of such change;

12. agree that without prejudice to any other rights or remedies that the Bank may otherwise have, we shall indemnify, keep indemnified and hold the Bank harmless against any and all liabilities, actions, claims, losses, damages, costs and expenses (including but not limited to legal costs on a full indemnity basis) suffered or incurred by the Bank as a result of, or in connection with, the information in Section 3 above being inaccurate, outdated or untrue;

### Call Back Nominees

13. acknowledge that:

(a) the Authorised Call back Person(s) are authorised by us to (whether alone or jointly) act for and on our behalf, to confirm any instruction or transaction in respect of our Account(s);

(b) the Bank may implement security procedures and features as it deems appropriate and/or necessary from time to time to verify: (i) the identity of the Authorised Callback Person(s); (ii) the confirmation(s) given by the Authorised Call back Person(s); and/or (iii) the instruction or transaction in respect of our Account(s) has been authorised by us; and

(c) the Bank shall be entitled in its absolute discretion, but shall not be obliged to act on the confirmation(s) given by the Authorised Call back Person(s);

14. authorise the Bank to disclose all information relating to us or our Account(s) and Service(s) with the Bank to any Authorised Call back Person and for any purpose whatsoever and to indemnify, keep indemnified and hold the Bank harmless from and against all actions, proceedings, liabilities, losses, damages, claims, demands, costs and expenses (including but not limited to legal costs on a full indemnity basis) which the Bank may incur or sustain by reason of or in connection with honouring any instrument; or acting or relying on any instruction or confirmation given by the Authorised Call back Persons.

## 4.0 Confirmation and Agreement



### Supplements

15. hereby also submit the following Supplements and confirm by signing below that we have obtained and agree to be bound by the Bank's prevailing terms and conditions including any specific terms and conditions applying to these Account(s) and Service(s) including but not limited to the terms and conditions, statements, Applicant's instructions, declarations and acknowledgements of risk contained in the Supplements:

- Supplement – Additional Accounts and Services  
Number of pages added. \_\_\_\_\_
- Supplement – Additional eAlerts! Setup  
Number of pages added. \_\_\_\_\_
- Supplement – Additional Approved Signatories and Approved Users  
Number of pages added. \_\_\_\_\_
- Supplement – Additional Operating Mandate  
Number of pages added. \_\_\_\_\_
- Supplement – Additional Call Back Nominees  
Number of pages added. \_\_\_\_\_
- Supplement – Tax Self-Declarations  
Number of pages added. \_\_\_\_\_

### Constitutive Documents

- 16. confirm that there has been no amendment made to our constitutive documents since the last time we provided a certified true copy to the Bank.  
For existing customers only. Tick if applicable.

Signature

Name

Date (DD/MM/YYYY)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_\_

Signature

Name

Date (DD/MM/YYYY)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_\_

### Disclosure

Deposit Insurance Scheme: Singapore Dollar deposits of non-bank depositors and monies and deposits denominated in Singapore dollars under the Supplementary Retirement Scheme are insured by the Singapore Deposit Insurance Corporation, for up to S\$75,000 in aggregate per depositor per Scheme member by law. Foreign currency deposits, dual currency investments, structured deposits and other investment products are not insured.



## Active Non-Financial Entity (NFE)/ Active Non-Financial Foreign Entity (NFFE):

Any NFE/NFFE (being an entity that is not a Financial Institution (FI)) that meets any of the following criteria:

1. Less than 50 percent of the NFE/NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE/NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
2. The stock of the NFE/NFFE is regularly traded on an established securities market or the NFE/NFFE is a Related Entity of an Entity the stock of which is traded on an established securities market;
3. The NFE/NFFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
4. Substantially all of the activities of the NFE/NFFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
5. The NFE/NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFE/NFFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE/NFFE;
6. The NFE/NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
7. The NFE/NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
8. The NFE/NFFE meets all of the following requirements:
  - (a) It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
  - (b) It is exempt from income tax in its jurisdiction of residence;
  - (c) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
  - (d) The applicable laws of the NFE/NFFE's jurisdiction of residence or the NFE/NFFE's formation documents do not permit any income or assets of the NFE/NFFE to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the NFE/NFFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
  - (e) The applicable laws of the NFE/NFFE's jurisdiction of residence or the NFE/NFFE's formation documents require that, upon the NFE/NFFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organisation, or escheat to the government of the NFE/NFFE's jurisdiction of residence or any political subdivision thereof.

## Central Bank:

An institution that is by law or government sanction the principal authority, other than the government of the jurisdiction itself, issuing instruments intended to circulate as currency. Such an institution may include an instrumentality that is separate from the government of the jurisdiction, whether or not owned in whole or in part by the jurisdiction.

## Controlling Person:

The natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies), or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term 'Controlling Person' shall be interpreted in a manner consistent with the Recommendations of the Financial Action Task Force. In Singapore, is considered an individual who:

1. Owns an interest of more than 25% of the capital in the entity;
2. Can exercise more than 25% of the voting power at the shareholders meeting of the entity;
3. Has direct or indirect control or power of decision in the entity;
4. Is a beneficiary of 25% or more of the capital of the entity; or
5. Has particular control over 25% or more of the capital of the entity

## CRS:

means the Common Reporting Standard.

## Custodial Institution:

Any Entity that holds, as a substantial portion of its business, financial assets for the account of others. An Entity holds financial assets for the account of others as a substantial portion of its business if the Entity's gross income attributable to the holding of financial assets and related financial services equals or exceeds 20 percent of the Entity's gross income during the shorter of: (i) the three-year period that ends on 31 December (or the final day of a non-calendar year accounting period) prior to the year in which the determination is being made; or (ii) the period during which the Entity has been in existence.

## Depository Institution:

Any Entity that accepts deposits in the ordinary course of a banking or similar business.

## Entity:

A legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

## Established Securities Market:

An exchange that is officially recognised and supervised by a governmental authority in which the market is located and that has a meaningful annual value of shares traded on the exchange.

## FATCA:

is an abbreviation of Foreign Account Tax Compliance Act. This United States tax legislation is aimed at identifying U.S. Persons that have an account or financial assets outside of the United States. The government of the Singapore has concluded an agreement with the government of the United States with respect to the exchange of information regarding U.S. Persons in Singapore.

## Financial Institution:

Any of the following:

1. A Depository Institution
2. A Custodial Institution
3. An Investment Entity
4. A Specified Insurance Company

## Fiscally transparent entities:

Entities that are considered fiscally transparent are:

1. Sole proprietorships - the business income derived by a sole proprietorship is part of the total personal income of the sole proprietor which is taxed at individual income tax rates; and
2. Partnerships – each partner of a partnership will be taxed based on his share of the business income of the partnership at individual income tax rates.

## Global Intermediary Identification Number (GIIN):

Institutions and entities assigned a GIIN can use it to identify themselves to withholding agents and tax administrators for FATCA reporting purposes. The GIIN, formatted as XXXXXX.XXXXXX.XX.XXX, is a 19-character identification number. These characters will never contain the letter "O".

## Governmental Entity:

The government of a jurisdiction, any political subdivision of a jurisdiction (which, for the avoidance of doubt, includes a state, province, county, or municipality), or any wholly owned agency or instrumentality of a jurisdiction or any one or more of the foregoing (each, 'Governmental Entity'). This category is comprised of the integral parts, controlled entities, and political subdivisions of a jurisdiction.

1. An integral part of a jurisdiction means any person, organisation, agency, bureau, fund, instrumentality, or other body, however designated, that constitutes a jurisdiction, with no portion inuring to the benefit of any private person. An integral part does not include any individual who is a sovereign, official, or administrator acting in a private or personal capacity.
2. A controlled entity means an Entity that is separate in Form from the jurisdiction or that otherwise constitutes a separate juridical entity, provided that: (a) The Entity is wholly owned and controlled by one or more Governmental Entities directly or through one or more controlled entities; (b) The Entity's net earnings are credited to its own account or to the accounts of one or more Governmental Entities, with no portion of its income inuring to the benefit of any private person; and (c) The Entity's assets vest in one or more Governmental Entities upon dissolution.
3. Income does not inure to the benefit of private persons if such persons are the intended beneficiaries of a governmental program, and the program activities are performed for the general public with respect to the common welfare or relate to the administration of some phase of government. Notwithstanding the foregoing; however, income is considered to inure to the benefit of private persons if the income is derived from the use of a governmental entity to conduct a commercial.

## International Organisation:

Any international organisation or wholly owned agency or instrumentality thereof. This category includes any intergovernmental organisation (including a supranational organisation) (1) that is comprised primarily of governments; (2) that has in effect a headquarters or substantially similar agreement with the jurisdiction; and (3) the income of which does not inure to the benefit of private persons.

## Investment Entity:

Any entity will be treated as an Investment Entity if meets conditions below:

1. Entity that primarily conducts as a business (or is managed by an Entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer:



2. trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;

3. Individual and collective portfolio management; or

4. otherwise investing, administering, or managing funds or money on behalf of other persons; or

5. Satisfies the Financial Asset Test (FAT). If 50 percent or more of the Entity's gross income is attributable to the activities mentioned above during the shorter of: (a) The three-year period ending on 31 December of the year preceding the year in which the determination is made; or (b) The period during which the entity has been in existence.

The second type of 'Investment Entity' ('Investment Entity managed by another FI') is any entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

#### Listed on a stock exchange:

An entity is considered to be listed on a stock exchange if at least 50% of the securities of the entity are regularly traded on an established securities market.

#### Non- Financial Foreign Entity (NFFE):

refers to any non-US incorporated entity that is not a Foreign Financial Institution ("FFI").

#### Participating Jurisdiction:

A jurisdiction – (i) with which an agreement is in place pursuant to which it will provide the specified information under CRS, and (ii) which is identified in a published list.

#### Passive income:

refers generally to the portion of gross income that consists of:

1. Dividends and dividend substitute payments (income equivalent to dividend);
2. Interest and income equivalent to interest;
3. Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE;
4. Annuities;
5. Income from swap-contracts;
6. Rental income of real estate property provided that this income can be obtained by performing little to no activity.

The list above is not exhaustive. A complete overview of passive income can be found on [www.irs.gov](http://www.irs.gov). If you are uncertain whether income is passive income, please consult a tax adviser.

#### Passive NFE/Passive NFFE:

Any NFE/NFFE (being an entity that is not a FI) that is not an Active NFE/NFFE. (Note: Similar treatment will be applied to an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution).

#### Related Entity:

An Entity is regarded as being related to another Entity if: (i) One controls the other; or (ii) The two Entities are under common control. Control means: Direct or indirect ownership of more than 50 percent of the vote and value in an Entity.

#### Reportable Account:

An account that, pursuant to due diligence procedures consistent with CRS, has been identified as an account that is held by one or more persons that are Reportable Persons or by a Passive NFE with one or more Controlling Persons that are Reportable Persons with respect to another Jurisdiction.

#### Reportable Person:

A Reportable Jurisdiction Person other than: (i) a corporation the stock of which is regularly traded on one or more Established Securities Markets; (ii) any corporation that is a Related Entity of a corporation described in clause (i); (iii) a Governmental Entity; (iv) an International Organisation; (v) a Central Bank; or (vi) a Financial Institution. Notwithstanding the foregoing, Singapore may treat an entity as not a Related Entity of another entity if the two entities are not members of the same Expanded Affiliated Group ("EAG").

#### Reportable Jurisdiction Person:

An individual or Entity that is resident in a Reportable Jurisdiction under the tax laws of such jurisdiction, or an estate of a decedent that was a resident of a Reportable Jurisdiction. For this purpose, an Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated.

#### Reportable Jurisdiction:

A jurisdiction – (i) with which an agreement is in place pursuant to which there is an obligation in place to provide the specified information under CRS; (ii) which is identified in a published list.

#### Specified Insurance Company:

Any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

#### Specified US Person:

means any US Person other than those specifically excluded. The United States tax authorities (IRS) have excluded certain U.S. Persons from the requirement to be reported by financial institutions pursuant to FATCA. An entity will usually know whether it can be excluded according to specific requirements of the IRS and United States tax legislation (U.S. Internal Revenue Code). If you are uncertain whether the entity has this specific status, please visit [www.irs.gov](http://www.irs.gov) for more information or consult a tax adviser. The following are not Specified US Persons:

1. A publicly traded corporation or member of its expanded affiliated group;
2. The U.S., any states of the U.S., U.S. Territory, political subdivision of any of foregoing or any wholly owned agency or instrumentality thereof;
3. Organization exempt from tax under Section 501(a) or an individual retirement plan;
4. Banks;
5. Real estate investment trusts;
6. Regulated investment company or entity registered with the U.S. Securities and Exchange Commission;
7. Common trust fund or trust exempt from tax;
8. A U.S. registered dealer in securities, commodities or derivatives;
9. A broker; or
10. Tax-exempt trust under a plan.

#### Taxpayer Identification Number (TIN):

Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. For example, Unique Entity Number (UEN) is used by Singapore entities to file tax returns with Inland Revenue Authority of Singapore. Further details of acceptable TINs can be found at: <http://www.oecd.org/tax/automatic-exchange/tinsandtaxresidency/>.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a 'functional equivalent'). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

#### US Person:

means

1. A US citizen or resident individual,
2. A partnership or corporation organized in the US or under the laws of the US or any state of the US,
3. A trust if (i) a court within the US would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of trust, and (ii) one or more US Persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US;

As interpreted in accordance with the US Internal Revenue Code.

#### U.S. TIN (U.S. Taxpayer Identification Number):

The 'Taxpayer Identification Number' (TIN), which is also known as 'U.S. federal taxpayer identification number', is used by the IRS as an identification number for tax administration. This number can be requested via [www.irs.gov](http://www.irs.gov).

1. For residents and citizens of the United States, this number is the 'Social Security Number' (SSN). You can request a social security number via IRS form SS-5 or [www.irs.gov](http://www.irs.gov).
2. Non-U.S. individuals who are United States taxpayers can request an 'Individual Tax Identification Number' (ITIN) via IRS form W-7 or [www.irs.gov](http://www.irs.gov).
3. For organizations and employers, the U.S. TIN is the Employer Identification Number (EIN). This number may be requested via IRS form SS-4 or [www.irs.gov](http://www.irs.gov). More information is available about identification numbers and the process to request these numbers on [www.irs.gov](http://www.irs.gov).





## Type of UOB Cash Alerts

### Account Balance

Notification can be set as Daily/Weekly/Fortnightly/Monthly and up to four times (9am, 11am, 3pm and/or 6pm) within a day.

### Account Balance Below Threshold

1. Notify me when account balance falls below the threshold amount.
2. The threshold for notification is customisable and if not specified, will be defaulted to 8,500 units of the account currency.

### Account Balance Above Threshold

1. Notify me when account balance goes above the threshold amount.
2. The threshold for notification is customisable and if not specified, will be defaulted to 100,000 units of the account currency.

### Incoming Funds

1. Notify me of incoming funds to account in real-time.
2. The threshold for notification is customisable and if not specified, will be defaulted to 5,000 units of the account currency.

### Debit Notification

1. Notify me of funds debited from account in real-time.
2. The threshold for notification is customisable and if not specified, will be defaulted to 5,000 units of the account currency.

### Return of Cheque Deposited

1. Return of Cheques Deposited notification will be sent at 2pm. This service is only applicable for SGD and USD cheques cleared by Singapore Automated Clearing House only. Only cheques returned before 2pm will be notified and does not include late returns. One SMS and/or email for all cheques returned will be sent.
2. The threshold for notification is customisable and if not specified, will be defaulted to 5,000 units of the account currency.

## Type of UOB Trade Alerts

### Import Letter of Credit (LC)

Notify me when my import LC has been issued, amended & cancelled.

### Inward Bills (LC and NON-LC)

1. Notify me upon arrival of inward bills, both LC and non-LC.
2. Send me a reminder 2 working days prior to bill payment due date.
3. Notify me when my settlement instruction has been effected.

### Import Financing

1. Notify me once my trade financing request is approved.
2. Send me a reminder 2 working days prior to payment due date.
3. Notify me when my payment is overdue.
4. Notify me when my settlement instruction (LC and non-LC) has been effected.
5. Notify me when there are changes to Periodic Interest Pricing and/or Periodic Interest Payment.

### Letter of Credit Advising

1. Notify me when my export LC and Transfer LC are advised through UOB.
2. Notify me when my SBLC are advised through UOB.

### Transfer Letter of Credit

Notify me when my Transfer LC has been issued, amended & cancelled.

### Export Documentary Handling (LC and NON-LC)

1. Notify me when my documents have been despatched.
2. Notify me upon receipt of acceptance and confirmation of maturity date.

### Outward Bills Collection

1. Notify me of unaccepted/ unpaid outstanding bills.
2. Notified me when my cancellation instruction has been processed.

### Export Proceeds (LC and NON-LC)

1. Notify me once export proceeds (LC and Non-LC) have been credited.
2. Notify me when there are changes to Periodic Interest Pricing and/ or Periodic Interest Payment.

### Export Trade Financing

1. Notify me once my trade financing request is approved.
2. Send me a reminder 2 working days prior to payment due date.
3. Notify me when my settlement instruction has been effected.
4. Notify me when my payment is overdue.
5. Notify me when there are changes to Periodic Interest Pricing and/or Periodic Interest Payment.

### Account Receivable Purchase

1. Notify me once my receivable purchase application is approved.
2. Send me a reminder 2 working days prior to payment due date.
3. Notify me when my settlement instruction has been effected.
4. Notify me when my payment is overdue.

### Shipping Guarantee

1. Notify me when my Shipping Guarantee has been issued.
2. Notify me 2 months from issuance date and subsequently every 3 months if my Shipping Guarantee has not been redeemed.

### Banker Guarantee

1. Notify me when my Banker's Guarantee has been issued and amended.
2. Notify me when there is a claim on my Banker's Guarantee.

### Standby Letter of Credit

Notify me when my Standby Letter of Credit has been issued, amended and cancelled.



# Supplements Section

Use and attach the following pages if required

- Additional Accounts and Services
- Additional eAlerts! Setup
- Additional Approved Signatories and Approved Users
- Additional Operating Mandate
- Additional Call Back Nominees
- Tax Self-Declarations

# Additional Accounts and Services



Complete this section to request for additional accounts and specify the services enabled for each account.

**Account Name** \_\_\_\_\_  
Sequence number \_\_\_\_\_ Defaulted to registered business name if left blank.  
70 characters maximum.

**Type of account (Select one)**

- Current account
- Fixed deposit
- Others \_\_\_\_\_  
\_\_\_\_\_

**Purpose of account**

- Transactional
- Loan repayment
- Investment
- Others \_\_\_\_\_  
\_\_\_\_\_

**Currency of account**

\_\_\_\_\_

**Source of funds**

- Business proceeds
- Return on investments
- Others \_\_\_\_\_  
\_\_\_\_\_

**Country of fund origin**

Main country  
\_\_\_\_\_

Additional countries If applicable  
\_\_\_\_\_

**Account Services**

**Business Internet Banking**

**eAlerts!**

**Register for PayNow Corporate**

For SGD account only. Optional alphanumeric suffix. The Bank will assign a suffix at its sole discretion if the requested PayNow ID cannot be allocated.

**PayNow ID**

Unique Entity No. (UEN) + \_\_\_\_\_  
Suffix

Leave blank if same Business Contact Information to be used to receive registration status updates.

**Mobile number**

+ \_\_\_\_\_

**Email address**

\_\_\_\_\_

**Number of chequebooks** Defaulted to one chequebook per account if left blank.

\_\_\_\_\_

**For Bank Use**

Account number

\_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

# Additional eAlerts! Setup



Complete this section to define how you would like to receive Cash Alerts for the account(s) stated below. You may use multiples of this Supplement if required.

For account(s) stated below

\_\_\_\_\_

Monthly Subscription Charges:

Alert Service	Cash Alerts	Trade Alerts
<b>SMS</b>	\$8 (per mobile no. per account)	Waived
<b>Email</b>	Waived	Waived

## Cash Alerts Setup

This will be set up by default when you sign up for eAlerts. Select at least one type of Cash Alert.

### Type of Cash Alerts

- A/C balance (ABL)  
Daily (Monday to Friday) 9am and 6pm alert
- Notify me when my designated notification account balance falls below the threshold value (ABB)
- Notify me when my designated notification account balance is above the threshold value (ATA)
- Notify me of Incoming Funds that equal or exceeding threshold amount (INF)
- Notify me of debit amount equal or exceeding threshold amount (LDB)
- Notify me when cheques are returned (INR)

### Threshold amount

N.A

\_\_\_\_\_

Default to 8,500 units of the account currency if left blank

\_\_\_\_\_

Default to 100,000 units of the account currency if left blank

\_\_\_\_\_

Default to 5,000 units of the account currency if left blank

\_\_\_\_\_

Default to 5,000 units of the account currency if left blank

\_\_\_\_\_

Default to 5,000 units of the account currency if left blank

## Important information regarding eAlerts!

1. UOB eAlerts! is available via Email and SMS. SMS Alerts are available for Singapore registered mobile number as well as Overseas mobile number.
2. Trade Alerts are for notification purposes only and do not indicate that the trade documents are ready for collection. All existing arrangements with the Bank applies.
3. Trade Alerts are available Monday to Friday excluding Saturday, Sunday and Public Holidays.
4. Trade Alerts will be sent by batches or per transaction basis. Reminders will be sent two days prior to the due date and overdue reminders will be sent on next working day past due date. Transaction notification will be sent at 30 minutes interval between 7:00am to 8:30pm.
5. Frequency and time of notification received are indicative and subject to change. UOB reserves the right to change the frequency and time of notification without prior notice.
6. Termination of UOB eAlerts! require minimum 30 days prior written notice to the Bank.

# Additional Approved Signatories and Approved Users



This section allows you to appoint additional Approved Signatories and Business Internet Banking Users. You may use multiples of this Supplement if required.

For account(s) stated below

---

### Important for Business Internet Banking Setup

A set of default roles will be assigned to every Business Internet Banking User if Standard Role type is selected in Section 1.1 Business Internet Banking Setup.

Any 'Customised' role selected in this section will take precedence over your selection of 'Standard' role in Section 1.1

By selecting Payroll Maker and/or Payroll Authoriser, you will be subscribed to Business Internet Banking Bulk services for which fees may apply.

The Bank will assign a User ID at its sole discretion if the Preferred User ID is left blank or cannot be allocated.

Name (As per ID document)

---

---

Designation

---

ID number (NRIC/Passport/FIN)

---

#### Assign signing group

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others 

---

ID issuing country

Country of birth

---

---

Office number

+ 

---

Mobile number For transaction related enquiries and to receive SMS notifications.

+ 

---

Email address To receive email notifications.

---

Specimen signature

Set as Approved Signatory

Set as Business Internet Banking User  
For new Business Internet Banking customer only.

#### Preferred User ID

Minimum 8 characters with no space or special characters.

---

#### Select Customised role type

Skip if Standard role is selected in Section 1.1.

- |                                     |   |
|-------------------------------------|---|
| <input type="checkbox"/> Enquirer   | <input type="checkbox"/> Administrator      |
| <input type="checkbox"/> Maker      | <input type="checkbox"/> Payroll Maker      |
| <input type="checkbox"/> Authoriser | <input type="checkbox"/> Payroll Authoriser |

Set as eAlerts! Recipient

#### Alert scope

- |                                     |                                      |
|-------------------------------------|--------------------------------------|
| <input type="checkbox"/> Cash Alert | <input type="checkbox"/> Trade Alert |
|-------------------------------------|--------------------------------------|

**Channel** Monthly subscription fee applies for Cash Alerts via SMS.

- |                                |                              |
|--------------------------------|------------------------------|
| <input type="checkbox"/> Email | <input type="checkbox"/> SMS |
|--------------------------------|------------------------------|

Name (As per ID document)

---

---

Designation

---

ID number (NRIC/Passport/FIN)

---

#### Assign signing group

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others 

---

ID issuing country

Country of birth

---

---

Office number

+ 

---

Mobile number For transaction related enquiries and to receive SMS notifications.

+ 

---

Email address To receive email notifications.

---

Specimen signature

Set as Approved Signatory

Set as Business Internet Banking User  
For new Business Internet Banking customer only.

#### Preferred User ID

Minimum 8 characters with no space or special characters.

---

#### Select Customised role type

Skip if Standard role is selected in Section 1.1.

- |                                     |   |
|-------------------------------------|---|
| <input type="checkbox"/> Enquirer   | <input type="checkbox"/> Administrator      |
| <input type="checkbox"/> Maker      | <input type="checkbox"/> Payroll Maker      |
| <input type="checkbox"/> Authoriser | <input type="checkbox"/> Payroll Authoriser |

Set as eAlerts! Recipient

#### Alert scope

- |                                     |                                      |
|-------------------------------------|--------------------------------------|
| <input type="checkbox"/> Cash Alert | <input type="checkbox"/> Trade Alert |
|-------------------------------------|--------------------------------------|

**Channel** Monthly subscription fee applies for Cash Alerts via SMS.

- |                                |                              |
|--------------------------------|------------------------------|
| <input type="checkbox"/> Email | <input type="checkbox"/> SMS |
|--------------------------------|------------------------------|

**Enquirer**—View account details only.

**Administrator**—Create/maintain all user and access profiles. Maintain user(s) passwords and assign tokens to all user(s) except Administrators and Authorisers.

**Maker**—Create all types of transactions (except Payroll) and view account details.

**Payroll Maker**—Create Payroll transactions, upload Payroll files and view Payroll details.

**Authoriser**—Create/approve transactions (except Payroll) and view account details.

**Payroll Authoriser**—Create/approve Payroll transactions and view Payroll details.

# Additional Operating Mandate



This section allows you to define the Operating Mandate for the remaining new account(s) if you did not opt for the Mandate Scope in Section 2.1 to apply to all your new accounts requested in this Application including Supplement – Additional Accounts and Services (if any).

You may use multiples of this section if required.

## A. Mandate Scope

Operating Mandate for new accounts not specified in Section 2.1 Mandate Scope  
Provide account number and proceed to following Section.

---

Select to define new Operating Mandate or use existing Operating Mandate

<input type="radio"/> <b>New Operating Mandate</b>	<p>Complete Section B to define Authorisation Limits and Signing Requirement and Section C to set out Approved Signatories.</p> <p>Proceed to complete Section B Authorisation Limits and Signing Requirement.</p>	
<input type="radio"/> <b>As per existing UOB Corporate account</b>	<p>Enter UOB Account number Must state account of the same currency. Authorisation Limits, Signing Requirement and Approved Signatories of the accounts in Section A Mandate Scope will follow that of the account stated below.</p> <p>_____ - _____ - _____ - _____</p> <p>Skip Section B and Section C.</p>	
<p>Not applicable for new Business Internet Banking Setup.</p>		

## B. Authorisation Limits and Signing Requirement

### Authorisation Limits (Select one)

Both currency and amounts need to be specified.

Any amount

Up to \_\_\_\_\_

Up to \_\_\_\_\_

Up to \_\_\_\_\_

Above \_\_\_\_\_

Authorisation Limits are cumulative, e.g. these Approved Signatories may also approve lower amounts.

### Signing Requirement (Select one)

Any one     Any two     Others \_\_\_\_\_

Any one     Any two     Others \_\_\_\_\_

Any one     Any two     Others \_\_\_\_\_

Any one     Any two     Others \_\_\_\_\_

Any one     Any two     Others \_\_\_\_\_

Other Signing Requirement

---



## C. Approved Signatories

Name (As per ID document)

Specimen signature

ID number (NRIC/Passport/FIN)

Designation

Office number

+

**Set as Approved Signatory**

**Assign signing group**

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

Name (As per ID document)

Specimen signature

ID number (NRIC/Passport/FIN)

Designation

Office number

+

**Set as Approved Signatory**

**Assign signing group**

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

Name (As per ID document)

Specimen signature

ID number (NRIC/Passport/FIN)

Designation

Office number

+

**Set as Approved Signatory**

**Assign signing group**

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

Name (As per ID document)

Specimen signature

ID number (NRIC/Passport/FIN)

Designation

Office number

+

**Set as Approved Signatory**

**Assign signing group**

For Approved Signatories, Authorisers or Payroll Authorisers. Defaulted to 'A' if left blank.

A  B  C  Others

# Additional Call Back Nominees



Enter details of individuals appointed as your Authorised Call Back Persons for UOB to contact for all matters relating to the Entity and its account(s) and service(s) with UOB. Note that Approved Signatories are default call back contact for the designated account(s).

---

<b>Name</b>	<b>ID number (NRIC/Passport/FIN)</b>	<b>Office number</b>
_____	_____	+ _____
_____	<b>Mobile number</b>	<b>Date of birth (DD/MM/YYYY)</b>
	+ _____	____ / ____ / _____

---

<b>Name</b>	<b>ID number (NRIC/Passport/FIN)</b>	<b>Office number</b>
_____	_____	+ _____
_____	<b>Mobile number</b>	<b>Date of birth (DD/MM/YYYY)</b>
	+ _____	____ / ____ / _____

---

<b>Name</b>	<b>ID number (NRIC/Passport/FIN)</b>	<b>Office number</b>
_____	_____	+ _____
_____	<b>Mobile number</b>	<b>Date of birth (DD/MM/YYYY)</b>
	+ _____	____ / ____ / _____

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<b>Name</b>	<b>ID number (NRIC/Passport/FIN)</b>	<b>Office number</b>
_____	_____	+ _____
_____	<b>Mobile number</b>	<b>Date of birth (DD/MM/YYYY)</b>
	+ _____	____ / ____ / _____





## Important information regarding tax reporting requirements for US Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)

In order to comply with the regulatory requirements of FATCA and CRS, we are obliged to collect your tax self-declaration. Please complete the sections below as directed and provide any additional information that is requested. Refer to FATCA and CRS Glossary.

If you have any questions about this tax self-declaration form, please speak to your tax adviser.

### Select declaration (Select one)

- New declaration**  
Proceed to complete Sections A, B, C and D as required.
- I have an existing declaration**  
No changes to the previous US withholding certificate or FATCA/CRS self-certification(s) submitted to UOB.

Complete the following section based on your Entity type. Ticked sections are mandatory.

Section	A	B	C	D
<b>Sole Proprietorship</b> Individual Owners	✓	✓	N/A	N/A
<b>Sole Proprietorship</b> Non-Individual Owners	✓	✓	✓	Conditional
<b>Other Entity Type</b>	N/A	✓	✓	Conditional

## A. Sole Proprietorship Owner's Information

This section is required only if you are a Sole Proprietorship. Provide the Sole Proprietorship (Individual/ Non-Individual) Owner's information and not the particulars of the Sole Proprietorship.

**Name/Registered business name**

\_\_\_\_\_

**Country of birth**

For Individual Owners only.

\_\_\_\_\_

**Date of birth (DD/MM/YYYY)**

For Individual Owners only.

\_\_\_\_/\_\_\_\_/\_\_\_\_

**Mailing address** If different from Residential/Registered address.

\_\_\_\_\_

**The following information is as set out in the ACRA search**  
Skip Owner information below.

**ID number/Business registration number (NRIC/Passport/FIN/UEN)**

\_\_\_\_\_

**Nationality/Country of incorporation**

\_\_\_\_\_

**Residential address/Registered address**

\_\_\_\_\_

\_\_\_\_\_

## B. Tax Residency

Select your tax residency(ies). If you are a Sole Proprietorship, provide the Sole Proprietorship (Individual/Non-Individual) owner's tax residency(ies). If the Entity is not tax resident in any country/ jurisdiction (e.g. because it is fiscally transparent), please provide its place of effective management or jurisdiction in which its principal office is located.

**Singapore** TIN number (Singapore) \_\_\_\_\_

**United States** TIN number (United States) \_\_\_\_\_

Specified US Person  Yes  No

**Others**

Country 1

\_\_\_\_\_

TIN number

\_\_\_\_\_

Country 2

\_\_\_\_\_

TIN number

\_\_\_\_\_

**If no TIN in country/jurisdiction**

Country where the Entity/Individual is resident does not issue TINs.

**No TIN is required.**  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Entity/Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

\_\_\_\_\_

**If no TIN in country/jurisdiction**

Country where the Entity/Individual is resident does not issue TINs.

**No TIN is required.**  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.

Entity/Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.

\_\_\_\_\_



## C. FATCA and CRS Status

Select one of the following statements that best describes the Entity. If you are a Sole Proprietorship, provide the Sole Proprietorship (Non-Individual) owner's FATCA and CRS status.

Instructions	FATCA status if non-US tax resident	CRS status
<input type="radio"/> <b>Passive Business</b> More than 50% of your gross income from Passive Income in the previous year or More than 50% of your assets were used to produce Passive Income in the previous year.	Passive Non-Financial Foreign Entity	Passive Non-Financial Entity
<input type="radio"/> <b>Active Business</b> Less than 50% of your gross income from Passive Income in the previous year and Less than 50% of your assets were used to produce Passive Income in the previous year.		
<input type="radio"/> <b>Publicly Listed Company or its Related Entity</b> Stock is regularly traded on one or more established securities markets or A Related Entity of an entity whose stock is regularly traded on an established securities market.	Active Non-Financial Foreign Entity	Active Non-Financial Entity
<input type="radio"/> <b>Charities</b> Charities registered under the Singapore Charities Act.		
<input type="radio"/> <b>Holding Company or Group Services Company</b> Substantially all your business activities consist of: Holding (wholly or partially) the outstanding share of, or Providing financing and services to one or more subsidiaries that engage in non-FI trade/ business. However, you do not operate as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.		
<input type="radio"/> <b>Financial Institution</b> Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.	Financial Institution	Financial Institution



	Instructions	FATCA status if non-US tax resident	CRS status
<input type="radio"/> <b>Financial Institution</b> Other Investment Entity.	1. Provide GIIN _____ . _____ . _____ . _____ 2. Provide U.S. withholding certificate U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP 3. Proceed to sign the Tax Self-Declaration.	Financial Institution	Financial Institution
<input type="radio"/> <b>Financial Institution</b> Depository Institution, Custodial Institution or Specified Insurance Company.	1. Provide GIIN _____ . _____ . _____ . _____ 2. Provide U.S. withholding certificate U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP 3. Proceed to sign the Tax Self-Declaration.		
<input type="radio"/> <b>Government Entity or Central Bank</b> Government and government-linked entities (including Singapore Ministries, Statutory Boards, Town Councils). Central Bank.	Proceed to sign the Tax Self-Declaration.	Exempt Beneficial Owner	Active Non-Financial Entity A Government Entity or Central Bank
<input type="radio"/> <b>International Organisations</b> Any international organisation or wholly owned agency or instrumentality thereof.	Proceed to sign the Tax Self-Declaration.		Active Non-Financial Entity An International Organisation
<input type="radio"/> <b>Other Status</b> If you do not fall under any of the above statuses, please specify your FATCA and CRS status.	1. FATCA Status _____ Provide U.S. IRS Form W-8BEN-E / W-8IMY / W-8ECI / W-8EXP 2. CRS Status _____ 3. Proceed to sign the Tax Self-Declaration.	As specified	As specified



## D. Controlling Person(s)

Complete below section only if your FATCA or CRS status is any of the following:  
 Passive Non-Financial Foreign Entity/Passive Non-Financial Entity/Financial  
 Institution-Investment Entity located in a Non-Participating Jurisdiction and managed  
 by another Financial Institution.

### Important

You represent that the controlling person/s have confirmed that the information in this section are accurate and up to date.

<b>Name</b>	<b>Nationality</b>	<b>Date of birth (DD/MM/YYYY)</b>
_____	_____	____/____/____
<b>Percentage of ownership</b>	<b>Country of birth</b>	<b>Residential address</b>
_____%	_____	_____
<b>ID number (NRIC/Passport/FIN)</b>	<b>ID issuing country</b>	
_____	_____	

### Select your controlling person tax residency(ies)

**United States**      TIN number (United States) \_\_\_\_\_

**Others**

Country 1	TIN number	Country 2	TIN number
_____	_____	_____	_____

#### If no TIN in country/jurisdiction

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

#### If no TIN in country/jurisdiction

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

<b>Name</b>	<b>Nationality</b>	<b>Date of birth (DD/MM/YYYY)</b>
_____	_____	____/____/____
<b>Percentage of ownership</b>	<b>Country of birth</b>	<b>Residential address</b>
_____%	_____	_____
<b>ID number (NRIC/Passport/FIN)</b>	<b>ID issuing country</b>	
_____	_____	

### Select your controlling person tax residency(ies)

**United States**      TIN number (United States) \_\_\_\_\_

**Others**

Country 1	TIN number	Country 2	TIN number
_____	_____	_____	_____

#### If no TIN in country/jurisdiction

- Country where the Individual is resident does not issue TINs.
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- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_



<b>Name</b> _____	<b>Nationality</b> _____	<b>Date of birth (DD/MM/YYYY)</b> ____/____/_____
<b>Percentage of ownership</b> _____%	<b>Country of birth</b> _____	<b>Residential address</b> _____
<b>ID number (NRIC/Passport/FIN)</b> _____	<b>ID issuing country</b> _____	_____

**Select your controlling person tax residency(ies)**

**United States**      TIN number (United States) \_\_\_\_\_

**Others**

Country 1	TIN number	Country 2	TIN number
_____	_____	_____	_____

**If no TIN in country/jurisdiction**

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

**If no TIN in country/jurisdiction**

- Country where the Individual is resident does not issue TINs.
- No TIN is required.  
Only select this reason if the authorities of the country of tax residence entered do not require the TIN to be disclosed.
- Individual is otherwise unable to obtain a TIN or equivalent number. Provide details.  
\_\_\_\_\_

Signature

**Name**

**Date (DD/MM/YYYY)**

Signature

**Name**

**Date (DD/MM/YYYY)**